



## Holomorphic Dynamics and Hyperbolic Geometry

### Solutions 2

1. If  $f$  is a rational function with a fixed point at  $\infty$  show that the multiplier  $\lambda$  at  $\infty$  is equal to  $\lim_{z \rightarrow \infty} 1/f'(z)$ . Deduce that the fixed point at  $\infty$  is a superattractor if and only if  $\lim_{z \rightarrow \infty} f'(z) = \infty$ . (Hint: consider the power series expansion around  $\zeta = 0$  of  $\sigma f \sigma$ , where  $\sigma(\zeta) = 1/\zeta$ ).

**Solution.** Let  $g = \sigma f \sigma$  where  $\sigma(z) = 1/z$ . Then the multiplier of  $f$  at its fixed point  $\infty$  is equal to the multiplier of  $g$  at its fixed point 0. But 0 is a superattractive fixed point of  $g$  if and only if the Taylor series for  $g$  around  $z = 0$  has the form:

$$g(z) = a_k z^k + a_{k+1} z^{k+1} + a_{k+2} z^{k+2} + \dots$$

for some  $k \geq 2$  (with  $a_k \neq 0$ ). So for large  $z$ ,  $f(z)$  has the form:

$$\begin{aligned} f(z) &= (a_k z^{-k} + a_{k+1} z^{-(k+1)} + a_{k+2} z^{-(k+2)} + \dots)^{-1} \\ &= z^k (a_k + a_{k+1} z^{-1} + a_{k+2} z^{-2} + \dots)^{-1} \\ &= a_k^{-1} z^k (1 + \dots)^{-1} = a_k^{-1} z^k (1 + \dots) \end{aligned}$$

where ‘...’ is a power series in  $z^{-1}$ , so tends to zero as  $z$  tends to  $\infty$ . So  $\lim_{z \rightarrow \infty} f'(z) = \lim_{z \rightarrow \infty} k a_k^{-1} z^{k-1}$  and as  $k \geq 2$  we have  $\lim_{z \rightarrow \infty} f'(z) = \infty$ .

2. Picard’s Theorem states that if a holomorphic function  $f : \mathbb{C} \rightarrow \mathbb{C}$  (i.e. an *entire* function) has the property that there are at least two points of  $\mathbb{C}$  that are not in the image of  $f$ , then  $f$  is constant. Deduce Picard’s Theorem from Liouville’s Theorem and the fact that  $\mathbb{D}$  is the universal cover of the thrice-punctured Riemann sphere  $\hat{\mathbb{C}}$ . Write down a non-constant entire function the image of which omits just one point of  $\mathbb{C}$ .

**Solution.** Suppose  $f : \mathbb{C} \rightarrow (\hat{\mathbb{C}} - \{0, 1, \infty\})$  is holomorphic. Then since  $\mathbb{C}$  is simply-connected,  $f$  lifts to a holomorphic function  $\tilde{f} : \mathbb{C} \rightarrow \mathcal{H}_+$ . Now if we let  $\alpha$  be a Möbius transformation sending the half-plane  $\mathcal{H}_+$  bijectively to the unit disc  $\mathbb{D}$ , the composite  $\alpha \circ \tilde{f}$  is a bounded entire function, therefore constant (by Liouville’s Theorem). Hence  $\tilde{f}$  is constant. Hence  $f$  is constant.

3. Let  $f$  be a rational map. Using the ‘normal families’ definition of the Fatou set, prove that the Fatou set of  $f^2$  (i.e.  $f$  composed with  $f$ ) is the same set as the Fatou set  $F(f)$  of  $f$ . Now consider  $f(z) = z^2 - 1$ . Show that  $0, -1$  and  $\infty$  are attracting fixed points of  $f^2$  (i.e.  $f$  composed with  $f$ ) and deduce that they are in different components of the Fatou set  $F(f)$  of  $f$ . Deduce that  $F(f)$  contains infinitely many components. Let  $F_0$  denote the component containing  $0$ . Sketch the position of the components of  $f^{-n}(F_0)$  for  $n = 1, 2, 3$ , indicating how they map to each other under  $f$ .

**Solution.**  $z \in F(f^2) \Rightarrow$  every infinite sequence in  $\{f^{2n}\}_{n>0}$  has a subsequence which converges locally uniformly at  $z$  to a function  $g$ . Now any infinite sequence in  $\{f^n\}_{n>0}$  either has a subsequence consisting of even powers, in which case there is a subsequence converging locally uniformly to  $g$ , or it has a subsequence consisting of odd powers, in which case there is a subsequence converging locally uniformly at  $z$  to  $f \circ g$ . Hence  $f \in F(f)$ .

Conversely,  $f \in F(f) \Rightarrow$  the infinite family  $\{f^{2n}\}_{n>0}$  has a subsequence which converges locally uniformly at  $z$  to a function  $g$ , and hence every infinite subfamily of  $\{f^{2n}\}_{n>0}$  has a subsequence which converges locally uniformly at  $z$  to  $g$ , in other words  $z \in F(f^2)$ .

For  $f(z) = z^2 - 1$  we have  $f(f(z)) = (z^2 - 1)^2 - 1 = z^4 - 2z^2$ . Writing  $g(z)$  for  $f(f(z))$ , we have  $g(0) = 0$ ,  $g(-1) = -1$ ,  $g'(0) = 0$  and  $g'(-1) = 0$ , so  $0$  and  $1$  are superattracting fixed points. Also  $\infty$  is a superattracting fixed point since this is true for every polynomial of degree  $\geq 2$  (e.g. by the criterion in question 1). Every point in the component of an attracting fixed point has forward orbit converging to that fixed point, so  $0, -1$  and  $\infty$  are in different components. (I’ll draw a sketch in Week 4 to illustrate how the various components map to one another in this example.)

4. A non-identity element  $\alpha \in PSL(2, \mathbb{R})$  is said to be:

*elliptic* if it has just one fixed point in the open upper half plane;

*hyperbolic* if it has two distinct fixed points on the extended real line  $\hat{\mathbb{R}} = \mathbb{R} \cup \{\infty\}$ ;

*parabolic* if it has just one fixed point in  $\hat{\mathbb{C}}$  (necessarily on  $\hat{\mathbb{R}}$ ).

(i) Regarding  $\alpha$  as a  $2 \times 2$  real matrix of determinant 1, show that  $\alpha$  is elliptic, hyperbolic, parabolic  $\Leftrightarrow |tr(\alpha)| < 2, > 2, = 2$  respectively (where the trace of a matrix is the sum of the entries on the main diagonal).

(ii) Show that if  $\alpha$  is hyperbolic then it is conjugate in  $PSL(2, \mathbb{R})$  to  $z \rightarrow \lambda z$  for some non-zero  $\lambda \in \mathbb{R}$ , and in fact that we may require  $\lambda$  to be  $> 0$ .

(iii) Show that if  $\alpha$  is parabolic then it is conjugate in  $PSL(2, \mathbb{R})$  to  $z \rightarrow z + 1$  or to  $z \rightarrow z - 1$ .

(iv) Show that in the Poincaré disc model of the hyperbolic plane the elliptic isometries fixing the origin are the Euclidean rotations.

**Solution.**

(i) The fixed points of  $\alpha(z) = z$  in  $\hat{\mathbb{C}}$  are the solutions of  $z(cz + d) = az + b$ , i.e.

$$cz^2 + (d - a)z - b = 0$$

(where if  $c = 0$  then one of the fixed points is  $\infty$ , and if  $c = 0$  and  $d = a$  then  $\infty$  is the only fixed point).

When  $c \neq 0$  we get (since  $a, b, c, d$  are real and  $ad - bc = 1$ ):

- one solution, necessarily real, if  $(d - a)^2 + 4bc = 0$  i.e. if  $(d + a)^2 = 4$ ;
- two distinct real solutions if  $(d - a)^2 + 4bc > 0$  i.e. if  $(d + a)^2 > 4$ ;
- one solution in the upper half plane and another (the complex conjugate) in the lower half plane if  $(d - a)^2 + 4bc < 0$  i.e. if  $(d + a)^2 < 4$ .

(ii) If  $\alpha$  is hyperbolic we can move the fixed points to 0 and  $\infty$  by a (real) Möbius conjugacy. Now  $\alpha$  has the form  $z \rightarrow \lambda z$  for some real  $\lambda$ , and as  $\alpha$  maps the upper half-plane to itself we have  $\lambda > 0$ .

(In fact we may choose  $\lambda > 1$ , which is what I intended to ask, for if  $\lambda < 1$  then by exchanging 0 and  $\infty$  we can conjugate  $\alpha$  to  $z \rightarrow \lambda^{-1}z$ .)

(iii) If  $\alpha$  is parabolic then by a Möbius conjugacy we can assume the unique fixed point is at  $\infty$ . Then  $\alpha$  has the form  $z \rightarrow z + \lambda$  for some  $0 \neq \lambda \in \mathbb{R}$ . Now if we conjugate such an  $\alpha$  by  $z \rightarrow \mu z$  it becomes  $z \rightarrow \mu(\mu^{-1}z + \lambda)$ . So by taking  $\mu = 1/|\lambda|$  we can conjugate  $\alpha$  either  $z \rightarrow z + 1$  or to  $z \rightarrow z - 1$ .

(iv) In the Poincaré disc model the isometries have the form

$$z \rightarrow e^{i\theta} \frac{z - a}{1 - \bar{a}z} \text{ with } a \in \mathbb{D}.$$

Any isometry fixing 0 has  $a = 0$  and so is of the form  $z \rightarrow e^{i\theta}z$ .

5. On the hyperbolic plane a *reflection* is an orientation-reversing isometry  $\beta$  which fixes some geodesic pointwise.

(i) Show that every reflection  $\beta$  is an *involution* (i.e.  $\beta^2 = I$ );

(ii) Show that for every reflection  $\beta$  there is an element of  $PSL(2, \mathbb{R})$  which conjugates  $\beta$  to ‘reflection in the imaginary axis’, i.e. the map  $z \rightarrow -\bar{z}$ .

(iii) Show that every orientation-preserving isometry of the hyperbolic plane can be written as the composition of a pair of reflections (by the previous question it will suffice to consider  $z \rightarrow \lambda z$  and  $z \rightarrow z \pm 1$  on  $\mathcal{H}_+$ , and  $z \rightarrow e^{i\theta}z$  on  $\mathbb{D}$ ). Deduce that every orientation-reversing isometry can be written as a composition of at most three reflections.

(iv) Show that the orientation-reversing isometries of the hyperbolic plane are precisely the maps

$$z \rightarrow \frac{a\bar{z} + b}{c\bar{z} + d} \quad a, b, c, d \in \mathbb{R}, \quad ad - bc = -1$$

(Hint: if an isometry reverses orientation then composing it with a reflection will preserve orientation.)

**Solution.**

(i) If  $\beta$  fixes the geodesic  $\gamma$  pointwise, then for every  $p \in \gamma$  the geodesic  $\gamma'$  through  $p$  orthogonal to  $\gamma$  is sent to itself. Hence  $\beta^2$  is an orientation-preserving isometry of  $\gamma'$ . So  $\beta^2$  is the identity on the end points of  $\gamma'$  as well as on the end-points of  $\gamma$ . Hence  $\beta^2 = I$  (as a Möbius transformation with  $\geq 3$  fixed points is the identity).

(ii) Conjugate the fixed geodesic of  $\beta$  to the imaginary axis in the upper half plane. Now by the argument in the solution to (i) above  $\beta$  sends each semicircle orthogonal to this axis to itself, fixing the intersection point of the semicircle with the imaginary axis and preserving (hyperbolic) distances. So  $\beta$  is the Euclidean reflection in this axis ( $z \rightarrow -\bar{z}$ ).

(iii)  $z \rightarrow z + 1$  is reflection in the imaginary axis followed by reflection in the vertical line  $Re(z) = 1/2$ .  $z \rightarrow z - 1$  is the same pair of reflections in the opposite order.

$z \rightarrow \lambda z$  is reflection in the semicircle through  $i$  orthogonal to the imaginary axis, followed by reflection in the semicircle through  $i\sqrt{\lambda}$  orthogonal to the imaginary axis.

Rotation through  $\theta$  about the origin, in the disc model, is composition of reflections in lines through the origin at angle  $\theta/2$  to one another.

if  $\alpha$  is an orientation-reversing isometry, then  $\beta\alpha$  preserves orientation, where  $\beta(z) = -\bar{z}$ , so by the argument above  $\beta\alpha = R_2R_1$  (a product of two reflections). Hence  $\alpha = \beta R_2R_1$  (since  $\beta^2 = I$ ).

(iv) If  $\alpha$  reverses orientation then  $\alpha\beta$  preserves orientation, where  $\beta(z) = -\bar{z}$ . Hence

$$\alpha\beta(z) = \frac{az + b}{cz + d} \text{ with } a, b, c, d \in \mathbb{R}, ab - cd = 1$$

So

$$\begin{aligned} \alpha(z) &= \frac{a(-\bar{z}) + b}{c(-\bar{z}) + d} \text{ with } a, b, c, d \in \mathbb{R}, ab - cd = 1 \\ &= \frac{(-a)\bar{z} + b}{(-c)\bar{z} + d} \text{ with } -a, b, -c, d \in \mathbb{R}, (-a)b - (-c)d = -1. \end{aligned}$$